

DCCT Protocol

- 1,441 unrelated patients were recruited from 29 centers across North America in two cohorts between 1983-9:
 - primary prevention cohort (n=726) to determine if intensive therapy prevented development of diabetic retinopathy in patients with no retinopathy
 - secondary intervention cohort (n=715) to determine whether intensive therapy would affect the progression of early retinopathy
- Intensive therapy consisted of three or more daily insulin injections, or use of an insulin pump, with frequent blood glucose monitoring
- Conventional treatment consisted of one or two daily insulin injections (usual treatment)

Diabetes Control and Complications
Trial (DCCT) and Epidemiology of
Diabetes Interventions and
Complications (EDIC)

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DCCT Eligibility and exclusion criteria

- insulin dependence as evidenced by deficient C peptide secretion
- age 13-39 years old at entry (1983-9)
- duration of type 1 diabetes
 - 1-5 years (primary prevention)
 - 1-15 years (secondary prevention)
- $HbA_{1c} > 6.6$
- absence of hypertension, hypercholesterolemia, severe diabetic complications and other medical conditions
- Numerous other exclusion criteria
 - ~ 7,000 individuals screened for eligibility

The Diabetes Control and Complications Trial (DCCT)

1441 individuals with Type 1 diabetes

Primary Prevention

(Duration 1-5 years)

726 with no retinopathy

378

Conventional

348

Intensive

Secondary Intervention

(Duration 1-15 years)

715 with mild retinopathy

352

Conventional

363

Intensive

Primary outcomes of DCCT

- Terminated after a mean study duration of 6.5 years (range 3-9 years) because of significant findings
- Benefits of intensive therapy
 - Adjusted mean risk for development of retinopathy in the primary cohort reduced by 76% by intensive treatment
 - In the combined cohorts, intensive therapy reduced microalbuminuria and albuminuria by 39% and 54%, respectively
 - Clinical neuropathy reduced by 60% in the intensive group
- Adverse outcomes of intensive therapy
 - 3-fold increase in hypoglycemia requiring assistance
 - 3-fold increase in incidence of coma/seizure due to hypoglycemia
 - mean weight gain of 4.6 kg after 5 years in the intensive compared with the conventional group

EDIC

- After DCCT closeout all participants invited to participate in EDIC
- Advised to practice intensive therapy
- By January 1994 1375/1425 (96%) of DCCT subjects agreed to participate in EDIC
- Annual visits for measurement of complications/risk factors

EDIC

Added:
Cystatin C
Carotid US x 2
Coronary calcium
Neurocognitive

| Examinations (outcomes) | EDIC year | | | | | | | | | |
|---|-----------|---|---|---|---|---|---|---|---|----|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| Cardiovascular (CABG, MI, angina, CHF, stroke, TIA) | | | | | | | | | | |
| Standardized history (including family) and physical exam | X | X | X | X | X | X | X | X | X | X |
| ECG | X | X | X | X | X | X | X | X | X | X |
| Duplex carotid ultrasonography central review | X | | | | | X | | | | X |
| Peripheral vascular (foot ulcer, amputation, bypass graft) | | | | | | | | | | |
| Standardized history and physical exam | X | X | X | X | X | X | X | X | X | X |
| Ankle/arm index by Doppler | X | X | X | X | X | X | X | X | X | X |
| Lipoprotein levels (hypercholesterolemia, hypertriglyceridemia) | | | | | | | | | | |
| Total cholesterol | | | | | | | | | | |
| HDL cholesterol | | | | | | | | | | |
| Triglycerides | | | | | | | | | | |
| Calculated LDL cholesterol | | | | | | | | | | |
| Nephropathic (renal failure, transplant, dialysis, elevated serum creatinine) | | | | | | | | | | |
| Standardized history and physical exam | X | X | X | X | X | X | X | X | X | X |
| Serum creatinine | X | X | X | X | X | X | X | X | X | X |
| Glomerular filtration | | | | | | | | | | |
| Albumin excretion rate | | | | | | | | | | |
| 4-h standard creatinine clearance | | | | | | | | | | |
| Neuropathy | | | | | | | | | | |
| MNSI | X | X | X | X | X | X | X | X | X | X |
| 10-g filament examination | X | X | X | X | X | X | X | X | X | X |
| Retinopathic (photocoagulation, vitrectomy, blindness, vitreous hemorrhage) | | | | | | | | | | |
| Standardized history | X | X | X | X | X | X | X | X | X | X |
| Ophthalmological exam* | | | | | | | | | | |
| Visual acuity* | | | | | | | | | | |
| Fundus photographs* | | | | | | | | | | |
| Hypoglycemia (mortality/morbidity) | | | | | | | | | | |
| Standardized history | X | X | X | X | X | X | X | X | X | X |
| Metabolic (DKA, chronic glycemia) | | | | | | | | | | |
| Standardized history | X | X | X | X | X | X | X | X | X | X |
| HbA _{1c} | X | X | X | X | X | X | X | X | X | X |
| Psychological | | | | | | | | | | |
| Quality of life questionnaire (DQOL) | X | | X | | X | | X | | X | X |
| Health status questionnaire (SF-36) | X | | X | | X | | X | | X | X |
| Health care delivery | | | | | | | | | | |
| Standardized questionnaire | X | X | X | X | X | X | X | X | X | X |
| Dietary | | | | | | | | | | |
| Food frequency recall questionnaire | | | | | | | | | | |

Scheduling of visits is a function of randomization date (alternate years)

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In conjunction with lipids

*Ophthalmological exam, visual acuity, and fundus photographs to be done on the patient's 8th, 12th, and 16th anniversaries of randomization. CABG, coronary revascularization; CHF, congestive heart failure; DQOL, Diabetes Quality of Life; SF, short form; TIA, transient ischemic attack.

DCCT Family Study (1991-3)

Aims

- Identify first degree diabetic relatives of probands
- Measure complications in diabetic relatives
- Assess evidence for clustering and correlation of complications
- Collect DNA, cells for immortalization, serum, plasma for future studies on probands, diabetic relatives, and other first-degree relatives in families with a diabetic relative

Familial clustering and correlation analysis (DCCT family study)

- Microalbuminuria
 - Albumin excretion rate of > 40 mg / 24 hours
- Any retinopathy
 - ETDRS score ≥ 20
- Severe retinopathy
 - ETDRS score ≥ 47 or clinical significant macular edema or laser treatment
- Type 1 and 2 diabetes considered together
- Covariates
 - age, sex, diabetes duration, type of diabetes, recent HbA1c, percentage of ideal body weight and their pairwise interactions

Clustering of AER >40

| | Subjects | | Relatives | | OR (95% CI) | |
|--------------------|---------------|----------|-----------|-----------------------|--------------------|---------------------|
| | Status | <i>n</i> | <i>n</i> | Percentage with event | Unadjusted* | Covariate Adjusted† |
| Microalbuminuria†† | | | | | | |
| Intensive | With event | 3 | 6 | 66.7 | 3.48 (0.73–16.60) | 4.67 (1.13–19.32)§ |
| | Without event | 57 | 63 | 36.5 | | |
| Conventional | With event | 10 | 12 | 58.3 | 7.00 (2.01–24.36) | 5.67 (1.57–20.44) |
| | Without event | 44 | 48 | 16.7 | | |
| Combined‡ | With event | 13 | 18 | 61.1 | 5.33 (2.01–14.13)¶ | 5.46(2.17–13.72)¶ |
| | Without event | 101 | 111 | 27.9 | | |

*Based on analyses of 2×2 tables, using Rao and Scott's adjustment for clustering. †Based on a GEE model with logit link adjusting for the covariates listed in Table 6. ‡OR adjusted for treatment group. § $P < 0.05$; || $P < 0.01$; ¶ $P < 0.001$. #ETDRS score ≥ 20 ; **ETDRS score ≥ 47 or clinically significant macular edema or laser treatment. ††AER >40 mg/24 h.

Diabetes, 1997

Clustering of severe retinopathy

| | Subjects | | Relatives | | OR (95% CI) | |
|-------------------------|---------------|----------|-----------|-----------------------|--------------------|---------------------|
| | Status | <i>n</i> | <i>n</i> | Percentage with event | Unadjusted* | Covariate Adjusted† |
| Primary cohort | | | | | | |
| Any Retinopathy# | | | | | | |
| Intensive | With event | 24 | 27 | 37.0 | 0.36 (0.12–1.07) | 0.51 (0.10–2.74) |
| | Without event | 28 | 31 | 64.5 | | |
| Conventional | With event | 31 | 33 | 42.4 | 0.78 (0.24–2.55) | 0.63 (0.10–4.04) |
| | Without event | 9 | 10 | 50.0 | | |
| Combined‡ | With event | 55 | 60 | 40.0 | 0.52 (0.23–1.14) | 0.59 (0.21–1.64) |
| | Without event | 37 | 41 | 61.0 | | |
| Secondary cohort | | | | | | |
| Severe retinopathy** | | | | | | |
| Intensive | With event | 10 | 12 | 41.7 | 2.42 (0.72–8.09) | 1.77 (0.41–7.55) |
| | Without event | 50 | 57 | 22.8 | | |
| Conventional | With event | 14 | 15 | 40.0 | 4.33 (1.01–18.60)§ | 5.17 (1.22–21.91)§ |
| | Without event | 40 | 45 | 13.3 | | |
| Combined‡ | With event | 24 | 27 | 40.7 | 3.07 (1.21–7.77)§ | 3.12 (1.12–8.76)§ |
| | Without event | 90 | 102 | 18.6 | | |

*Based on analyses of 2×2 tables, using Rao and Scott's adjustment for clustering. †Based on a GEE model with logit link adjusting for the covariates listed in Table 6. ‡OR adjusted for treatment group. § $P < 0.05$; ¶ $P < 0.01$; ¶¶ $P < 0.001$. #ETDRS score ≥ 20 ; **ETDRS score ≥ 47 or clinically significant macular edema or laser treatment. ††AER >40 mg/24 h.

Diabetes, 1997

Correlations of log AER

| Type of family relationship | Number of families | Number of family members | Number of parent-offspring pairs | Correlation* | 95% CI |
|-----------------------------|--------------------|--------------------------|----------------------------------|--------------|-------------|
| Intensive | | | | | |
| All members | 114 | 242 | — | 0.104 | 0.000–0.272 |
| Parent-child | 61 | 130 | 69 | 0.090 | 0.000–0.324 |
| Father-child | 33 | 68 | 35 | 0.103 | 0.000–0.424 |
| Mother-child | 28 | 62 | 34 | 0.022 | 0.000–0.368 |
| Sib-sib | 59 | 126 | — | 0.176 | 0.000–0.406 |
| Conventional | | | | | |
| All members | 99 | 206 | — | 0.013 | 0.000–0.200 |
| Parent-child | 60 | 126 | 66 | 0.188 | 0.000–0.411 |
| Father-child | 35 | 72 | 38 | 0.232 | 0.000–0.517 |
| Mother-child | 26 | 55 | 30 | 0.191 | 0.000–0.521 |
| Sib-sib | 44 | 90 | —¶ | 0.000 | 0.000–0.288 |
| Combined | | | | | |
| All members | 213 | 448 | — | 0.063 | 0.000–0.188 |
| Parent-child | 121 | 256 | 135 | 0.138 | 0.000–0.301 |
| Father-child | 68 | 140 | 73 | 0.170 | 0.000–0.387 |
| Mother-child | 54 | 117 | 64 | 0.103 | 0.000–0.343 |
| Sib-sib | 103 | 216 | —# | 0.107 | 0.000–0.287 |

*Intraclass correlation for all members and sib-sib family relation, pairwise correlation for the other types of family relations. † $P < 0.05$; ‡ $P < 0.01$; § $P < 0.001$. ||52, 6, and 1 families have 2, 3, and 4 siblings, respectively; ¶42 and 2 families have 2 and 3 siblings, respectively; #94, 8, and 1 families have 2, 3, and 4 siblings, respectively.

Correlation of retinopathy grades (log ETDRS)

| Type of family relationship | Number of families | Number of family members | Number of parent-offspring pairs | Correlation* | 95% CI |
|-----------------------------|--------------------|--------------------------|----------------------------------|--------------|-------------|
| Intensive | | | | | |
| All members | 116 | 246 | — | 0.124 | 0.000–0.291 |
| Parent-child | 63 | 134 | 71 | 0.311‡ | 0.083–0.507 |
| Father-child | 34 | 70 | 36 | 0.236 | 0.000–0.524 |
| Mother-child | 29 | 64 | 35 | 0.371† | 0.043–0.627 |
| Sib-sib | 59 | 126 | — | 0.000 | 0.000–0.233 |
| Conventional | | | | | |
| All members | 103 | 214 | — | 0.256‡ | 0.082–0.430 |
| Parent-child | 64 | 134 | 70 | 0.344‡ | 0.117–0.538 |
| Father-child | 36 | 74 | 39 | 0.262 | 0.000–0.538 |
| Mother-child | 29 | 61 | 33 | 0.413† | 0.071–0.669 |
| Sib-sib | 44 | 90 | —¶ | 0.148 | 0.000–0.431 |
| Combined | | | | | |
| All members | 219 | 460 | — | 0.187‡ | 0.067–0.308 |
| Parent-child | 127 | 268 | 141 | 0.327§ | 0.171–0.467 |
| Father-child | 70 | 144 | 75 | 0.249† | 0.022–0.452 |
| Mother-child | 58 | 125 | 68 | 0.391‡ | 0.166–0.577 |
| Sib-sib | 103 | 216 | —# | 0.060 | 0.000–0.239 |

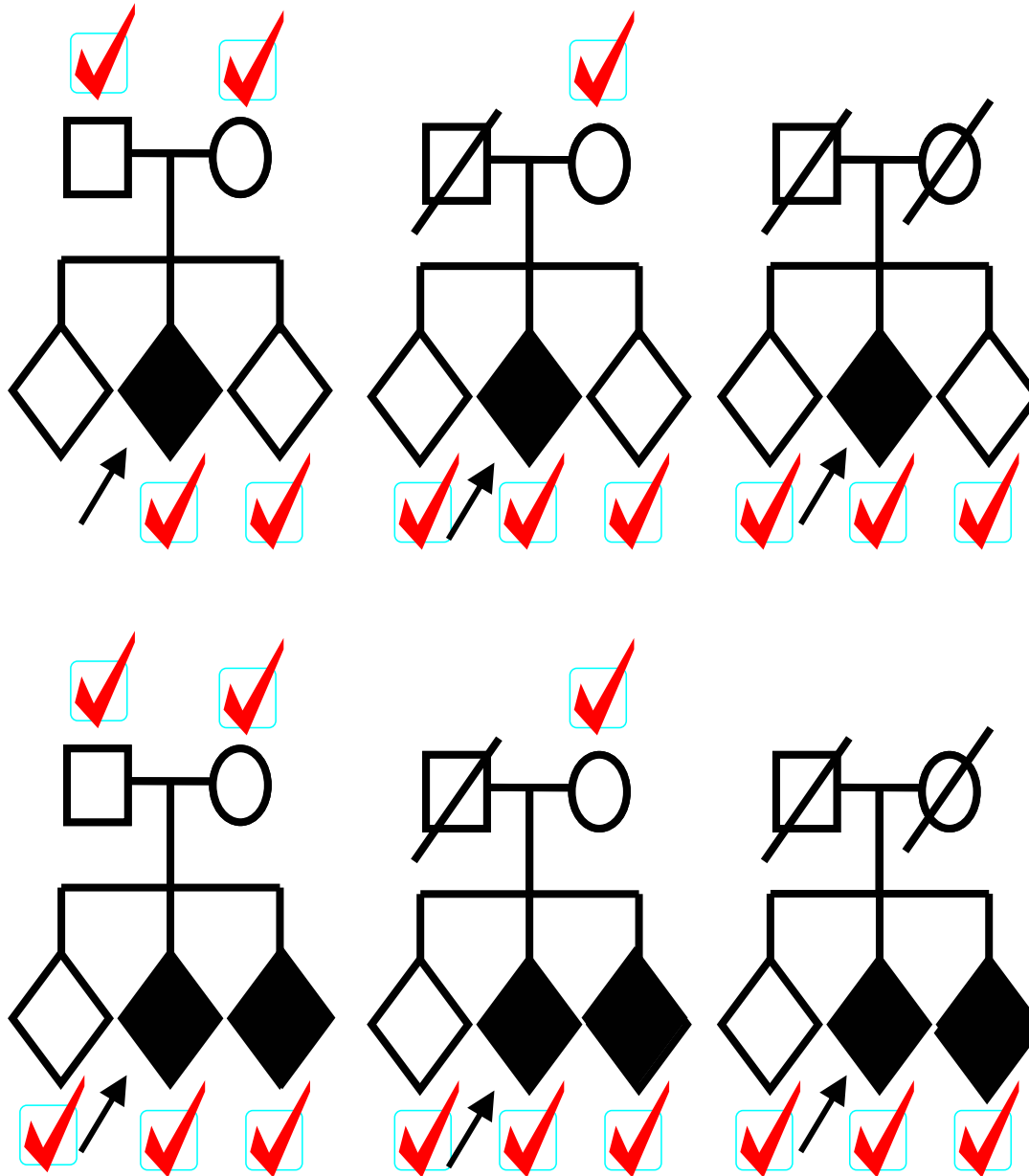
*Intraclass correlation for all members and sib-sib family relation, pairwise correlation for the other types of family relations. † $P < 0.05$; ‡ $P < 0.01$; § $P < 0.001$. ||52, 6 and 1 families have 2, 3, and 4 siblings, respectively; ¶42 and 2 families have 2 and 3 siblings, respectively; #94, 8, and 1 families have 2, 3, and 4 siblings, respectively.

EDIC Genetics Study (2000-6)

Aims

- 1.1 Complete collection of DNA, cells, serum and plasma on all available parents and at least one non-diabetic sib
- 1.2 Measure diabetic complications on diabetic siblings
- 1.3 Repeat familial clustering and correlation of diabetic complications
- 2. Establish DNA repository for genetic studies of Type 1 diabetes and its complications
- 3. Perform family-based association studies of diabetic complications with candidate gene polymorphisms

DNA



| | DNA & Cells | Basic Biochem* | C-peptide | 4 hour AER | Retinal Photos | Cardio-vascular | Neuropathy |
|--|-------------|----------------|------------------|------------|----------------|-----------------|------------|
| Probands | - | - | - | - | - | - | - |
| Parents | + | + | - | - | - | - | - |
| Siblings | | | | | | | |
| Previously Studied T1DM | + | + | +/- ^② | + | + | + | + |
| Previously Studied T2DM | + | + | +/- ^② | + | + | + | + |
| Diabetic Siblings Not Studied in 1991 - 1993 | + | + | + | + | + | + | + |
| Identified Non-diabetic ^① | + | + | - | - | - | - | - |

*Basic biochemistry: HbA1c; fasting blood glucose; serum creatinine; fasting lipids; cystatin C; random urine creatinine and albumin; serum, plasma and urine for storage

DCCT and EDIC DNA (26 Jan, 2005)

- 1,419 DCCT/EDIC probands
- 2,960 relatives of DCCT/EDIC probands
 - 806 mothers with DNA
 - 582 fathers with DNA
 - 1,572 siblings with DNA
 - Of which 140 have diabetes and complications measured
- 4,379 individuals in total

Effective # of trios

(Oct 2004)

| Structure | # families | Relative Information | Effective Trios |
|---------------------------|------------|----------------------|-----------------|
| Both parents | 499 | 100% | 499 |
| One parent, ≥ 2 sibs | 175 | 85% | 149 |
| One parent, 1 sib | 119 | 70% | 83 |
| One parent, 0 sibs | 72 | 50% | 36 |
| No parents, ≥ 2 sibs | 65 | 75% | 49 |
| No parents, 1 sibs | 93 | 50% | 47 |
| No relatives | 403 | - | - |
| TOTAL | 1,023 | | 862 |

Knapp (1999); Lange & Laird (2002)

DCCT probands

(from Baseline 002.4)

| Group | N | (%) |
|-----------------------------------|----------|------------|
| White, not of Hispanic origin | 1391 | 97 |
| Black, not of Hispanic origin | 29 | 2 |
| Hispanic | 14 | 1 |
| Asian or Pacific Islander | 6 | 0.5 |
| American Indian or Alaskan Native | 1 | 0 |

EBV cell transformations: CBL

(18 Feb 2005)

| Status | EDIC Genetics | DCCT family study | Total |
|--------------|------------------|----------------------|-------|
| Completed | 466 | 2,354 | 2820 |
| Pending | 1,593 | 5 | 1598 |
| Failures | 0 | 39 | 39 |
| Success rate | 100% | 98.3% | 98.6% |

Progress in Genotyping

- 55 DNA variations genotyped in 29 genes
- Genes selected because of previous association with diabetic complications or involved in pathways responsible for glucose homeostasis
- Markers selected either from previous studies, and to capture common haplotypes across the gene
 - Published studies
 - International Haplotype Map
- Example of ACE and renal outcomes in press Boright et al., Diabetes (April 2005)

Renal outcomes

Persistent microalbuminuria is defined as the time (yrs) from DCCT baseline to the first of two consecutive visits with Albumin Excretion Rate >30 mg/day up to EDIC year 8

(Left censoring introduced for probands with Albumin Excretion Rate >30 mg/day at DCCT baseline and DCCT year 1, n=66)

Severe nephropathy is defined as the time (yrs) from DCCT entry to either Albumin Excretion Rate >300 or dialysis or transplant up to EDIC year 8 (plus two consecutive visits with Albumin Excretion Rate >30 mg/day)

Table 2.1.2. Frequencies of renal outcomes obtained up to EDIC year 8 in 1389 “White” probands.

| Outcome | Total data | | Primary Prevention | | | | Secondary Intervention | | | |
|--|------------|------|--------------------|-----|--------------|-----|------------------------|-----|--------------|-----|
| | | | Intensive | | Conventional | | Intensive | | Conventional | |
| | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No |
| Any two consecutive AER >30 mg/day | 318 | 1071 | 25 | 308 | 76 | 288 | 91 | 262 | 126 | 213 |
| Any two consecutive AER >30 mg/day and any AER >300 mg/day, dialysis or transplant | 116 | 1273 | 5 | 328 | 31 | 333 | 19 | 334 | 61 | 278 |

Retinal outcomes

- **>3 step progression:** Time from DCCT entry to ≥ 3 step progression from DCCT baseline, up to EDIC year 4
- **Severe Retinopathy:** Time from DCCT entry to severe non-proliferative retinopathy (ETDRS patient level at least 53<53) or scatter laser, up to EDIC year 4
- **Macular Edema:** Time from DCCT entry to macular edema or focal laser, up to EDIC year 4

Table 2.1.1. Frequencies of retinal outcomes obtained up to EDIC year 4 in 1390 “White” probands.

| Outcome | Total data | | Primary Prevention | | | | Secondary Intervention | | | |
|--|------------|------|--------------------|-----|--------------|-----|------------------------|-----|--------------|-----|
| | Yes | No | Intensive | | Conventional | | Intensive | | Conventional | |
| | | | Yes | No | Yes | No | Yes | No | Yes | No |
| Any ≥ 3 step ETDRS progression from DCCT baseline | 628 | 762 | 86 | 248 | 217 | 147 | 128 | 225 | 197 | 142 |
| Any severe non-proliferative retinopathy (ETDRS ≥ 10) or scatter laser | 168 | 1222 | 5 | 329 | 19 | 345 | 37 | 316 | 107 | 232 |
| Any macular edema or focal laser | 124 | 1252 | 2 | 329 | 23 | 338 | 30 | 316 | 69 | 269 |

Families

- Positive genetic association from individual-based analysis are followed by family-based association analysis
 - Deviance residuals as a function of Martingale residuals from Cox PH
 - Haplotype analysis more powerful cf. unrelated

Familial clustering of diabetic complications

- Nephropathy (DCCT/EDIC plus others)
- Retinopathy (DCCT/EDIC)
- ? Neuropathy (EDIC has MNSI on probands and diabetic relatives)
- Cardiovascular disease or surrogates (IMT in T2D: Lange et al., 2002)
 - Genetic factors associated with CVD and surrogates in DCCT/EDIC probands likely to have general relevance for the population

Value of DCCT/EDIC

- Repeated measures of the four main diabetic complications
 - Reduce measurement error
 - Accurate time-to-event
 - Rates of change
- Measurement of all appropriate covariates
 - Medication, diet, other complications